## **Final Performance Report**

ICN# FA9550-07-1-0100 (DARPA)

# Phase IB: "Efficient THz Source Based On Cascaded Optical Down-Conversion In Orientation-Patterned GaAs Structures"

#### **Executive Summary**

The goal of this DARPA TIFT project was to demonstrate an efficient room temperature source for THz imaging systems based on cascaded optical down-conversion in an orientation-patterned GaAs microstructure, incorporated into an optical resonant cavity, and pumped by a compact solid-state laser. The main achievements of this Project are: (1) quasi-phase-matched (QPM) GaAs microstructures with periodic reversal of crystalline orientation, including orientation-patterned GaAs (OP-GaAs), diffusion-bonded GaAs (DB-GaAs) and optically-contacted GaAs (OC-GaAs) suitable for THz generation were designed and fabricated with the length exceeding 2 cm, thickness of more than 1 mm and optical absorption coefficient less than 0.01 cm<sup>-1</sup>, (2) efficient resonantly enhanced THz wave generation with GaAs inside the cavity of a near-degenerate doubly-resonant optical parametric oscillator was demonstrated in the whole range 0.7 -3.5 THz, with an average THz power of 1 mW at 2.8 THz, and (3) cascaded optical-to-THz frequency conversion was demonstrated, which opens up the possibility of exceeding the Manley-Rowe conversion efficiency limit that is getting more than one THz photon per optical photon.

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#### 14. ABSTRACT

The goal of this DARPA TIFT project was to demonstrate an efficient room temperature source for THz imaging systems based on optical down-conversion in an orientation-patterned GaAs microstructure, incorporated into an optical resonant cavity, and pumped by a compact solid-state laser. The main achievements are: (1) quasi-phase-matched (QPM) GaAs microstructures with periodic reversal of crystalline orientation, including orientation-patterned GaAs (OP-GaAs), diffusion-bonded GaAs (DB-GaAs) and optically-contacted GaAs (OC-GaAs) suitable for THz generation were fabricated with the length exceeding 2 cm and thickness of 1 mm, (2) efficient resonantly enhanced THz wave generation with GaAs inside the cavity of an optical parametric oscillator was achieved in the range 0.7-3.5 THz, with an average THz power of 1 mW at 2.8 THz, and (3) cascaded optical-to-THz frequency conversion was demonstrated, which opens the possibility of exceeding the Manley-Rowe conversion efficiency limit and getting more than one THz photon per optical photon.

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#### **Contents**

- 1. Introduction
- 2. Optical THz-wave generation in nonlinear crystals
- 3. Theoretical limits of optical-to-THz conversion in QPM crystals
- 4. Comparison of nonlinear crystals for THz generation
- 5. QPM GaAs samples
- 6. THz generation in GaAs by optical rectification
- 7. Resonantly enhanced THz generation in GaAs using DFG
- 8. Demonstration of cascaded optical-to-THz frequency conversion
- 9. Outlook for future experiments
- 10. Conclusion

#### 1. Introduction

There is a colossal potential for exploiting terahertz waves (1 THz =  $10^{12}$  Hz,  $\lambda$ =300 µm) in the fields extending far beyond the realms of their traditional use, like astronomy, study of Earth's atmosphere, high-resolution spectroscopy and plasma diagnostics. These new applications emerged in the last two decades and encompass 'friendly X-rays' real-time imaging (THz radiation experiences, in many occasions, much smaller scattering than the optical, and thus can penetrate many materials; yet the photon energy is too small to do any harm to living organisms), sensing and spectroscopic imaging by means of rotational-vibrational spectroscopy, because of extreme richness of absorption 'fingerprints' in the THz range, biomedical imaging (identifying cancers, particularly skin cancer), pharmaceutical industry (classifying molecular polymorphs), as well as broadband wireless communication [1]. Another emerging field is nonlinear interactions of THz waves with matter and nonlinear terahertz spectroscopy [2,3,4].

Regardless of a great variety of techniques for generating THz radiation [5], none of them provides a THz source which is simultaneously (i) compact, (ii) highly efficient, (iii) broadly tunable (octave or more), and (iv) works at room temperature. THz sources based on nonlinear optical effects, in particular those using  $\chi^{(2)}$  nonlinearity, such as difference frequency generation (DFG), optical rectification, and optical parametric oscillation (OPO) are very appealing because they can capitalize on swift progress of diode-pumped lasers, in particular fiber lasers, which would allow making a THz system very compact. Another advantage is power scalability: unlike photomixers and dipole photoantennas [5], nonlinear optical sources do not suffer from saturation of THz output power and typically show quadratic dependence vs. pump power. It should be mentioned that THz radiation can also be produced from optical radiation using  $\chi^{(3)}$  nonlinearity: intense THz pulses were generated via four-wave rectification process in laser-created plasma in ambient air [6,7].

In this paper, we briefly review different techniques of THz generation using  $\chi^{(2)}$  processes, including quasi-phase-matched interactions, make comparison of linear and nonlinear properties of crystals suitable for THz-wave generation, consider theoretical limits of optical-to-THz conversion, and review optical rectification experiments performed with periodically-inverted GaAs. Finally, we will describe and review first experimental results on a new approach to optical THz-wave generation. This approach is based on frequency mixing in periodically inverted GaAs, between two resonantly-enhanced optical fields inside a cavity. We will also discuss recycling of optical photons via cascaded process, which makes it possible to substantially surpass Manley-Rowe conversion limit.

# 2. Optical THz-wave generation in nonlinear crystals

### 2.1. Frequency conversion using DFG and OPO

Generation of THz waves by difference frequency mixing using laser radiation and a nonlinear crystal was first performed by Zernike and Berman in quartz crystal inside the cavity of a ruby laser as early as in 1965 [8]. Later, tunable THz generation by DFG was demonstrated in other nonlinear optical materials: both collinear (forward and backward) and noncollinear phase matching was used to generate broadly tunable, 20-190cm<sup>-1</sup> (0.6-5.7 THz), output in LiNbO<sub>3</sub> [9]. THz DFG was achieved with collinear phase-matching in birefringent crystals of ZGP [10,11] and GaSe [12], and with noncollinear phase matching in cubic zinc blende structure crystals of GaAs [13,14] and GaP [15], as well as with collinear phase-matching in GaP [16].

Guided-wave interactions between optical and THz waves can confine radiation to transverse dimensions on the order of the THz wavelength. Since DFG THz efficiency depends on pump power density, it can be enhanced in waveguides, thus lifting the tradeoff between confinement and diffraction. In addition, DFG phase matching in a THz waveguide made of nonlinear material can be achieved with optically isotropic nonlinear materials like GaAs, due to the waveguide dispersion added to the bulk dispersion of a crystal. Planar GaAs waveguides (170 and 75-µm thick) were used in ref. [17] to generate 0.5 and 1.2 THz radiation with ~ mW peak power, by mixing outputs of two Q-switched CO<sub>2</sub> lasers.

Alternatively, broadly tunable (0.3-3 THz) quasi- monochromatic THz radiation can be produced through THz-wave parametric oscillation in bulk LiNbO<sub>3</sub> crystal with a single fixed-frequency optical pump [18,19,20]. In this case, phase matching is achieved through noncollinear three-wave interaction; the pump and the OPO resonating wave lie in the near infrared, while the outcoupled non-resonant wave is the THz beam.

## 2.2. Optical rectification (OR)

In optical rectification, THz output is produced in a nonlinear medium via difference-frequency mixing between Fourier components of the same optical pulse. First demonstrated with picosecond pulses in LiNbO<sub>3</sub> [21,22], and ZnTe, ZnSe, CdS and quartz [21] crystals, this technique was later extended to femtosecond laser pulses [23] which made it possible to generate much broader bandwidths in terahertz region [24]. Typically, to generate broadband THz transients via optical rectification, thin (~1mm or less) electro-optic crystals are used, because of constraints, associated with the mismatch between optical group and terahertz phase velocities,

as well as high absorption in conventional crystals (LiNbO<sub>3</sub>, ZnTe) at THz frequencies. Optical rectification was also demonstrated in zinc-blende GaAs [25,26] and GaP [27] crystals, which have intrinsically much smaller THz absorption. In the latter case, GaP was collinearly pumped by an ultrafast ( $\tau$ ~210 fs) Yb-doped fiber laser operating at 1.055 µm. A 120-MHz-repetition-rate pulse train of single-cycle THz radiation with 6.5 µW average power was generated using 10 W of laser pump [27]. Optical-to-THz conversion efficiencies in optical rectification are typically in the range  $10^{-6}$  - $10^{-9}$  [5]. However, recently OR conversion efficiency of ~  $5x10^{-4}$  was demonstrated in LiNbO<sub>3</sub> by tilting the intensity front of a femtosecond (fs) 800 nm pump pulse to match the phonon-polariton phase velocity (at THz frequencies) to the group velocity of the pump pulses in LiNbO<sub>3</sub> crystal. The authors generated near single-cycle pulses with an energy per pulse of 240 nJ [28] and 10 µJ (100 µW average power) [29]. In the latter case, energetic (20 mJ) fs laser pulses at 10 Hz repetition rate were used as pump.

### 2.3. Quasi-phase-matched interactions applied to THz generation

Crystals with periodic variation of the  $\chi^{(2)}$  nonlinear coefficient, can solve the problem of k-vector conservation in optical-to-THz conversion process, via quasi-phase matching (QPM). In the case of optical rectification, on the other hand, QPM crystals increase effective length of collinear interaction by eliminating destructive interference due to velocity mismatch between optical and THz waves. Optical rectification in a QPM crystal was first demonstrated in periodically-poled lithium niobate (PPLN) [30]. The authors attained narrow-band THz generation by using femtosecond pulses at 800 nm and PPLN crystal with different QPM periods and achieved  $\sim 10^{-5}$  conversion efficiency. PPLN was cryogenically cooled (T=18 K) to reduce THz absorption. In contrast to velocity-matched single-cycle OR, optical rectification with QPM crystals gives rise to a multi-cycle narrow-band terahertz radiation with the center frequency

$$\Omega_0 = 2\pi c/\Lambda \Delta n \tag{1}$$

and spectral width corresponding to the QPM acceptance bandwidth

$$\Delta\Omega_{\rm THz} = 2\pi c/L\Delta n$$
 (2)

where  $\Lambda$  is QPM period and  $\Delta n = n_{THz} - n_{g \ opt}$  is a mismatch between the THz phase ( $n_{THz}$ ) and optical group ( $n_{g \ opt}$ ) indices. Each inverted domain of a QPM nonlinear crystal contributes a half-cycle of the THz pulse and thus the THz wave packet has as many oscillation cycles as the number of QPM periods over the length of the crystal (Fig.1). Also, PPLN was used in surface emitting geometries for THz generation using both OR (fs pulses) [31] and DFG (ps pulses) [32]. Lately, THz-wave generation was demonstrated in periodically-inverted GaP [33] and GaAs [34,35]. In fact, III-V semiconductors are very attractive for QPM THz-wave generation because of several appealing properties, namely (i) small THz absorption coefficient (smaller by an order of magnitude than in commonly used EO crystals: lithium niobate, ZnTe, CdTe), (ii) large coherence length due to small mismatch between the optical group and THz phase velocities, and (iii) high thermal conductivity. In Ref. 33, THz-waves were obtained by DFG in a periodically-inverted GaP stack, produced by direct-wafer-bonding technique, and the GaP crystal was pumped with 10-nanosecond pulses near 1.55  $\mu$ m.

Thus, optical THz generation in nonlinear crystals provides a variety of methods to produce THz output with an average power from nW to mW, and peak power up to megawatts. For

example, single-cycle THz pulses with peak power of 5 MW were demonstrated by OR in LiNbO<sub>3</sub> [29], and sub-ns pulses with 2 MW peak power (at 1 THz) - by noncollinear DFG in GaAs, using 250-ps CO<sub>2</sub> laser pulses [36].

# 3. Theoretical limits of optical-to-THz conversion in QPM crystals

As in any  $\chi^{(2)}$  parametric down-conversion process, optical-to-THz conversion efficiency scales as  $\Omega^2$ , and as  $\Omega^3$ , in the case of tightly focused beams [37,38]. In particular, for the plane-wave DFG with long pulses [37]:

$$\eta_{THz} = \frac{2\Omega^2 d_{eff}^2 L^2}{\varepsilon_0 c^3 n_{opt}^2 n_{THz}} I_{opt}$$
(3)

where  $\eta_{THz}$  is optical-to-THz conversion efficiency by intensity,  $\Omega$  is the angular terahertz frequency,  $d_{eff}$  is the effective nonlinear optical coefficient, L is the length of the crystal,  $I_{opt}$  is the optical intensity,  $\varepsilon_0$  is the permittivity of free space, c is the speed of light,  $n_{opt}$  is the optical refractive index, and  $n_{THz}$  is the THz refractive index. Because of  $\Omega^2$  (or  $\Omega^3$ ) term, THz conversion efficiency is intrinsically low, as compared to the case of generating near- or midinfrared radiation with the same technique; to achieve close to unity photon conversion efficiency in DFG process at 1-2 THz, one needs optical pump intensity on the order of 1 GW/cm<sup>2</sup> for both GaAs and LiNbO<sub>3</sub>, provided that the interaction length is close to the inverse THz absorption coefficient. Moreover, very large Stokes shift imposes limitations on maximum conversion efficiency which can be achieved even if 100% photon conversion is reached.

## 3.1. Long pump pulses

At a given pump power, the maximum THz efficiency in a collinear DFG can be achieved with tightly focused beams (approximately confocal with respect to the THz wave). In this case, for sufficiently long pulses (ns to CW), optical-to-THz conversion efficiency scales [38] as  $\eta_{\text{THz}} \sim P_{\text{opt}} \Omega^3 (d_{\text{eff}}^2/n_{\text{opt}}^2) L \sim P_{\text{opt}} \Omega^3 (d_{\text{eff}}^2/n_{\text{opt}}^2)/\alpha_{\text{THz}}$ , were  $P_{\text{opt}}$  is the optical pump power; we also assumed that the optimal L is on the order of  $1/\alpha_{\text{THz}}$ , where  $\alpha_{\text{THz}}$  is THz power absorption coefficient (THz absorption usually dominates over optical absorption). Thus, we can introduce a figure of merit for long pulses as:

$$FOM_1 = (d_{eff}^2/n_{opt}^2)/\alpha_{THz}$$
 (4)

# 3.2. Quasi phase-matched OR and DFG with short pulses

Parametric frequency conversion is intensity-dependent and it might seem that, from the viewpoint of achieving high optical-to-THz efficiency, OR is more attractive than DFG, because of access to higher peak powers with fs pulses. It appears, that in a QPM case, for sufficiently short ( $\tau\Omega$ <1) fs optical pulses, THz conversion efficiency in OR scales with the pulse fluence, not intensity [38]. The physical reason is that in a QPM crystal, fs pulse interacts coherently with the THz wave only over the temporal walk-off length, associated with the difference in optical group and terahertz phase velocities,  $l_{\rm w}\sim c\tau/\Delta n << L$  (here c is the speed of light,  $\tau$  - pulse length); hence, shortening the pulse increases the peak intensity but simultaneously decreases  $l_{\rm w}$ . Furthermore, in the case of mixing two ps pulses ( $\tau\Omega$ >>1), with pulse durations such that the

temporal walk-off length  $l_{\rm w}$  is smaller than the length of the crystal, again, conversion efficiency is *fluence*-, not intensity- dependent, and has the same scaling factor as in the case of OR with femtosecond pulses. In this event, for both OR and DFG (with optimally focused beams),  $\eta_{\rm THz}$  scales with *pulse energy U*<sub>opt</sub> as  $\eta_{\rm THz} \sim U_{\rm opt} \Omega^3 (d_{\rm eff}^2/n_{\rm opt}^2)/\Delta n$ , and a figure of merit for energy conversion efficiency for short pulses appears as:

$$FOM_2 = (d_{eff}^2/n_{opt}^2)/\Delta n \tag{5}$$

As calculations show [38], for QPM GaAs,  $\eta_{THz}$  ~0.1% per  $\mu J$  at 1-2 THz, for both fs and ps pulses with optimized pulsewidths.

#### 3.3. Kerr-limited interactions

In the previous example, conversion efficiency did not depend on crystal length, because we assumed that for shorter crystals we apply stronger focusing. This is true when pump intensities are moderate and we neglect high-order nonlinear effects, such as two- and three-photon absorption, and nonlinear refraction. Assuming that the pump wavelength is such that there is no two-photon absorption, and three- and higher photon-number absorption negligible, we conclude that the limiting factor is the nonlinear refraction (Kerr effect). To avoid self-focusing and self-phase modulation, pump intensity  $I_{opt}$  should be kept low enough to have nonlinear phase in the optical beam center to be  $\Delta \varphi = 2\pi/\lambda_{opt}$ .  $n_2 I_{opt}$ . L < 1 rad., where  $\lambda_{opt}$  is the optical wavelength, and  $n_2$  is the index of nonlinear refraction (by intensity). In this case, it is advantageous to use longer crystals  $(L\sim 1/\alpha_{THz})$ ; maximum THz conversion efficiency scales as  $\lambda_{opt}(d_{eff}^2/n_{opt}^2 n_{THz})L/n_2 \sim \lambda_{opt}(d_{eff}^2/n_{opt}^2 n_{THz})/\alpha_{THz}n_2$ . Then, a figure of merit for Kerr-limited conversion is:

$$FOM_3 = \lambda_{opt} d_{eff}^2 / (n_{opt}^2 n_{THz} \alpha_{THz} n_2)$$
 (6).

# 4. Comparison of nonlinear crystals for THz generation

# 4.1. Linear properties

Many nonlinear optical materials are relatively transparent at THz frequencies below lowest phonon resonance (e.g. at 8 THz for GaAs and 5 THz for LiNbO<sub>3</sub>) and their absorption monotonically decreases with decreasing frequency. Absorption can be affected by free carriers, but in high resistivity materials (e.g. GaAs), the carrier density can be made extremely low and not contribute to absorption. Some weak features at low frequencies are due to multiphonon processes involving longitudinal and transverse-acoustic modes or optical and longitudinal phonons. Fig. 2 shows THz power absorption coefficient for seven nonlinear crystals at room temperature. Most of the data are based on recent measurements [2,15,14,39,40,41]. One can see that GaAs, GaP and ZGP (ZnGeP<sub>2</sub>) show best THz transparency below 4 THz.

### 4.2. Nonlinear properties

For THz frequencies well below phonon resonances, the effective nonlinear coefficient  $d_{jkl}$  for DFG and OR is nearly constant and is derived from the linear electro-optic coefficient, r, using the relation [42]:  $d_{jkl} = -n^4/(4r_{jlk})$ , where n is the optical refractive index. For a number of semiconductor materials,  $d_{jkl}$  is lower at THz frequencies than in the optical range, while for

ferroelectric oxides like LiNbO<sub>3</sub> – there is an opposite trend. Fig. 3 shows frequency dependence of the nonlinear coefficient  $d_{14}$  for GaAs, on a broader scale of wavelengths [17].

## 4.3. Figures of merit

Linear and nonlinear optical properties, as well as figures of merit for the crystals, which are transparent in the 0-4 THz range, are listed in Table 1 [14, 43-48]. In some crystals (ZnTe, GaP) index mismatch,  $\Delta n$ , can be close to zero; in this case, to calculate FOM<sub>2</sub>, we took the walk-off length  $l_{\rm w}\sim c\tau/\Delta n$  to be equal (for  $\tau\sim100$  fs) to the physical length  $L\sim1/\alpha_{\rm THz}$ , since  $l_{\rm w}$  can not exceed L. LiNbO<sub>3</sub> crystal appears to have the largest nonlinear optical coefficient in the THz range, however GaAs has much smaller THz absorption; as a result their figures of merit FOM<sub>1</sub> are comparable.

# 5. QPM GaAs samples

Three different types of GaAs samples with periodically-inverted crystalline orientation were used for QPM THz generation: (i) diffusion-bonded GaAs (DB-GaAs) [49], produced by stacking and bonding together alternately rotated [110] GaAs plates; wafer fusion in this case creates a monolithic body with periodic change in the nonlinear coefficient, (ii) orientationpatterned GaAs (OP-GaAs) [50] grown by a combination of molecular beam epitaxy, photolithography, and hydride vapor phase epitaxy (HVPE), where periodic inversions of the crystallographic orientation are grown into the material, and (iii) optically-contacted GaAs (OC-GaAs) [51], which was similar to DB-GaAs since the technology involves separate plates of GaAs which are brought together, in a clean room environment, with 180° rotation around [110] direction between neighboring plates; unlike DB-GaAs, the plates were not heated to create a uniform crystalline structure. While DB-GaAs and OC-GaAs provide large (~1cm<sup>2</sup>) apertures, OP-GaAs (Fig. 4) has the advantage of more reproducible technology and allows precise lithographic definition of QPM gratings; however it suffers from limited aperture (thickness) of 0.4-1 mm. Transmission loss at optical ( $\lambda \sim 2$  µm) frequencies was measured to be  $\sim 6\%$  per cm in DB-GaAs. With the newly-grown OC-GaAs and OP-GaAs samples, transmission loss was measured to be <1 \% per cm. Parameters of GaAs samples used in experiments are listed in Table 2.

# 6. THz generation in GaAs by optical rectification

# 6.1. OR with fs pulses from a Tm-fiber laser at 2 μm

In order to eliminate two-photon-absorption in GaAs, one needs to use optical pumping with wavelengths  $\lambda > 1.75~\mu m$ , corresponding to the photon energy which is less than half of the bandgap of GaAs. In the OR experiment, OP-GaAs crystals were pumped by a compact femtosecond fiber laser operating near 2  $\mu m$  (Fig. 5). An all-fiber laser produced 120-fs pulses at 100 MHz repetition rate with the average power of 3 W (30 nJ pulse energy) at the wavelength of 1980 nm. In this fiber laser design, the output of a mode-locked Er-fiber oscillator at 1557 nm

was amplified in an Er/Yb-doped fiber amplifier, then Raman-shifted to 1980 nm, and finally amplified a large-mode-area Tm-doped fiber amplifier [35].

Two OP-GaAs samples (A3 and B3) were used, both 0.4-mm-thick and 3-mm-long. They had lithographically-defined QPM periods of 1277  $\mu$ m (sample A3) and 759  $\mu$ m (sample B3). The pump beam was propagating along the [110] direction of GaAs and was polarized along [111] to maximize the effective nonlinear optical coefficient. The focusing of the pump beam was optimized to produce maximum THz power with the resulting spot size (1/e² intensity radius) of  $w_0 = 65 \mu$ m. The generated THz beam was collimated with a Picarin plastic lens that had a focal length of 50 mm. THz power was measured with a Si bolometer operating at liquid helium temperature. A black polyethylene filter was used to block optical radiation.

Spectral properties of the generated THz radiation were measured with a Michelson interferometer. For the sample A3 the spectrum is centered at 1.78 THz and has a width of 0.3 THz, while for the sample B3 the spectrum is centered at 2.49 THz and has a width of 0.25 THz, in agreement with predicted QPM peak position and width.

Figure 5 shows the measured THz power versus the incident optical power at 1980 nm for the samples A3 and B3, which show very similar behavior. At the highest optical power of 2.1 W available at the samples  $\sim 3.3~\mu W$  of THz average power was obtained. The THz output power was quadratic with respect to the incident pump power and did not show any saturation effects, up to the maximum pump power of 2.1 W at the samples (peak intensity inside the samples 1.85  $GW/cm^2$ ).

## 6.2. OR with fs pulses from an OPA/DFG system

Optical rectification experiments were performed in DB- and OP-GaAs using another femtosecond source, with lower repetition rate but higher peak power. Tunable pump pulses centered at 2-4.4  $\mu$ m, were produced using a parametric amplifier system pumped by Ti:Sapphire pulses after a regenerative amplifier. To achieve  $\lambda$ > 3  $\mu$ m wavelengths, an additional difference frequency generation stage was used. Typical pulse durations were ~100 fs, repetition rate 1 kHz, and pulse energy up to 3  $\mu$ J [34]. GaAs samples were not AR-coated and their main parameters are listed in Table 2.

The optical pump beam with a beam size ranging between  $w=300~\mu m$  and 1.5 mm, propagated along the [110] direction (or its equivalent) of GaAs. A Picarin lens was used to collect the THz radiation to the Si bolometer (4 K). To measure the spectral properties of THz radiation, a Michelson interferometer was used, composed of two 2-inch-diameter flat gold mirrors and a 25- $\mu m$ -thick mylar beamsplitter (Fig. 6).

The power spectra of THz pulses were extracted in our experiment by computing the amplitudes of the Fourier transforms of Michelson interferograms. Fig. 6 shows an original interferogram and computed spectra for different samples and different pump wavelengths. THz spectra were noticeably distorted by water vapor absorption lines (also shown in Fig.6). Experimentally observed central frequencies and bandwidths of THz pulses are in good agreement with theoretical predictions based on known GaAs dispersion relations. By changing the pump wavelength or GaAs QPM period, THz wave packets with central frequencies between 0.9 and 3 THz were generated.

The THz beam propagated collinearly with respect to the optical pump and was close to diffraction-limited (a pinhole method was used to measure the far-field THz beam size). Fig.7 shows optical-to-terahertz conversion efficiency,  $\eta_{\text{THz}}$ , for samples DB-75 and A3, as a function of peak pump intensity,  $I_0$ . The pump beam size varied in this case between 300 and 810 µm. One can see that the linear dependence of  $\eta_{\text{THz}}$ , expected by theory, rolls off for  $I_0 > 2$  GW/cm2. This roll-off behavior was also observed at similar intensities at shorter,  $\lambda \sim 2$  µm pump. The onset of saturation is most likely due to nonlinear refraction ( $n_2$ ) in GaAs which induces self-phase modulation and self-focusing of the optical pulses. Indeed, if we take  $n_2 \approx 1.5 \cdot 10^{-4}$  cm<sup>2</sup>/GW for GaAs (Table 1), at  $I_0 = 2$  GW/cm2 and L = 6 mm, the on-axis nonlinear phase shift reaches  $\sim \pi$ .

In the sample DB-75, 0.66 nJ per pulse at 2.2 THz was generated at w=300 $\mu$ m, with 2.3  $\mu$ J of pump pulse energy, which corresponds to optical-to-terahertz conversion efficiency of 2.9× 10<sup>-4</sup>, internal efficiency 8.7× 10<sup>-4</sup> (the samples were un-coated), and internal photon efficiency 3.3%. These numbers are in good accord with the values calculated from Ref. 38.

# 7. Resonantly enhanced THz generation in GaAs using DFG

With femtosecond excitation, the limit for THz efficiency was set by parasitic high-order nonlinear effects, most likely nonlinear refraction. The optical-to-THz efficiency can be improved by using a DFG scheme with longer (ps) pulses, in the optimal case with the duration close to the walk-off time between optical and THz pulses. This condition, for a 10-mm-long GaAs and pump at  $\sim 2 \mu m$ , corresponds to the pulse duration of 3-4 ps [38].

A near–degenerate PPLN OPO, synchronously pumped by a CW mode-locked Nd:YVO<sub>4</sub> laser at 1.06  $\mu$ m (HighQ Laser) was built and investigated. The pump laser had repetition rate 50 MHz, pulse duration 6.5 ps, average power up to 10W in a TEM<sub>00</sub> mode. The PPLN crystal (HC Photonics), served as an OPO gain medium. It was 10-mm-long, 3-mm-wide and 1-mm-thick, had a QPM period of 14.1  $\mu$ m, and was AR-coated for both 1.06 and 2.1-2.16  $\mu$ m. PPLN was designed for the type-II QPM three-wave interaction (Y-YZ), so that the signal and the idler had orthogonal polarizations. The high-finesse standing-wave OPO cavity (Fig. 8) was 3-m-long and consisted of typically 7 mirrors, all of which were highly transmissive at 1.06  $\mu$ m and highly reflective (>99.9%) in the vicinity of the 2.13  $\mu$ m degeneracy wavelength. Mirrors M1-M2, and M7 were flat, M3-M4 - had ROC of 200mm, and M5-M6 - ROC of 500 mm. A thin-film polarizing beamsplitter inside the cavity served as an idler-wave outcoupler, thus the OPO in this case was singly resonant (SRO).

Insets to Fig. 8 show the signal-wave far field intensity distribution, spectral output of the OPO (signal and idler) at two different PPLN temperatures, and OPO tuning curve with respect to the PPLN temperature. The OPO linewidth,  $\Delta v \sim 3 \text{cm}^{-1}$  (100 GHz), is preserved even when the OPO crosses the degeneracy point and is close to the one given by the time-bandwidth limit. In general, any frequency spacing, from 0 to 125 cm<sup>-1</sup> (0-3.75 THz) between the OPO signal and the idler waves, can be achieved by changing the PPLN temperature. At the measured pump beamsize w=31 $\mu$ m and calculated signal eigenmode beamsize of w=51  $\mu$ m, the OPO threshold was  $\sim 1$  W. At the full average pump power of 9 W available at the PPLN crystal, the idler output reached 3 W with the pump depletion of 71%. By measuring the signal-wave power

leaking through one of the OPO mirrors, the intracavity resonating average signal power was measured to be as high as 120 W. Thus, there was a substantial resonant –field enhancement of the signal wave, due to low-loss cavity (the total round-trop loss was estimated to be 3%).

## 7.1. THz generation outside the OPO cavity

In this experiment, the OPO was tuned near degeneracy and served as a dual-frequency source to produce THz output via DFG. The signal and idler beams were collinearly focused into the GaAs crystal to a beamsize of  $\sim 80~\mu m$  and THz output was measured with a Si bolometer. Fig. 9a shows THz tuning curves - dependence of THz output vs. beatnote frequency (tuned by changing PPLN temperature), for 4 OP-GaAs samples (A10, D3, B10, C5 -see Table 2) with different lengths and QPM periods. THz output is maximized for each sample when the THz frequency  $\Omega = \omega_3 - \omega_2$ , matches the QPM condition ( $\omega_3$  and  $\omega_2$  are frequencies of the OPO signal and the idler waves correspondingly). Using a set of few GaAs samples, with different QPM periods, pretty broad range of THz frequencies (1-3.3 THz) can be covered. Also, one can see that longer samples give rise to narrower tuning peaks, in agreement with (2).

Fig. 9b is a log-log plot of THz average power versus average pump power for a 5-mm-long OP-GaAs sample (QPM period 704  $\mu$ m, center frequency 2.2 THz). The powers at the two pump beams (OPO signal and idler) were slightly different, so geometric mean of the powers of the two beams was used as the 'pump power' on the abscissa. The best fit is a straight line with a slope of 2, which indicates that the THz output is proportional to the product of the powers of the two pump beams. The conversion efficiency was about 40% of theoretical [38]; the discrepancy can be accounted for by the beam clipping, water vapor absorption in the air at THz frequencies, and possible uncertainty in THz detector calibration. Overall, experiments with ps pulses confirm predictions of theory that THz conversion is fluence-dependent and one gets the same THz efficiency per  $\mu$ J of pump pulse energy for both ps and fs pulses.

THz tuning curves (THz peak frequency vs. GaAs QPM period) obtained in this experiment are in agreement with both OR results and predictions based on known dispersion relations for GaAs (both optical and THz). Fig. 10a,b shows experimental as well as calculated tuning curves for fs OR at 4400 nm pump and extra- and intracavity ps DFG at ~ 2128 nm pump.

#### 7.2. Intracavity THz generation with single resonance

By placing a GaAs crystal inside the OPO cavity, one can take the advantage of resonant enhancement of pump optical power, and thus improve optical-to-THz conversion efficiency. First, an experiment with a singly resonant OPO (SRO) was performed with resonating 'signal' wave. GaAs crystal was placed near the beam waist (beamsize  $w\sim200~\mu\text{m}$ ), formed by two concave mirrors with 500 mm radius of curvature inside the OPO cavity (Fig. 11a). The signal and idler beams propagated along the [110] crystalline direction of GaAs with polarizations aligned along [001] and [110] correspondingly. A gold-coated 90°-off-axis parabolic mirror (1" diameter and 2" focal length), with a 3-mm-diameter hole in its center was placed after the GaAs

crystal. It fully transmitted the optical waves and allowed ~90% outcoupling of the THz waves due to the large diffraction cone of the THz radiation.

With the AR-coated 6-mm-long DB-GaAs crystal (sample DB-77, QPM period 504  $\mu$ m), 50  $\mu$ W of THz output was generated at 2.8 THz. THz average power in this case was measured with a calibrated room temperature DTGS detector from Bruker (model D 201). Black polyethylene filters were used to block the 2- $\mu$ m optical radiation.

When the OC-GaAs stack with comparatively large QPM period,  $\Lambda$ , was used, consisting of four 1-mm-thick (110) GaAs plates, three peaks of THz efficiency were observed. The center frequencies of the peaks were correspondingly at 0.66, 2.29, and 3.38 THz and their FWHM widths were 0.39, 0.32, and 0.18 THz (Fig. 12). These peaks corresponded to the 1-st, 3-rd and 5-th –order QPM in GaAs with center frequencies,  $\Omega_N=2\pi c/(\Lambda\Delta n)\times N$  (N=1,3,5 is the QPM order), related approximately as 1:3:5 if one neglects THz dispersion. THz output power as a function of THz frequency is shown on Fig. 12. Interestingly, the strengths of all three peaks are comparable: efficiency reduction of  $1/N^2$  due to high-order QPM process [52] is offset by the  $\Omega^2$  factor which scales as  $N^2$ . Still, some difference in the peaks' strength can be explained solely by higher THz absorption at higher frequencies. Potentially, such 3-color THz source can be a useful tool for spectrally-selective THz imaging.

Figure 13a shows the THz beam intensity profile after parabolic mirror, reconstructed from scanning knife-edge measurements. Horizontal and vertical  $1/e^2$  spot sizes of 7.8 and 13.3 mm, respectively, were close to the diffraction limit. THz beam, measured in the focus of a f =50 mm Picarin lens, was captured (Fig. 13b) by a room-temperature pyroelectric camera (Pyrocam III, Spiricon). The focused beam spot size is 2–3 pixels (1 pixel=100x100  $\mu$ m), which again confirmed diffraction-limited performance.

## 7.3 Intracavity THz generation with double resonance

In a doubly resonant OPO (DRO), both optical waves are enhanced and the total THz efficiency can be further increased. Schematic of the setup for THz generation using QPM GaAs inside a DRO cavity is shown on Fig. 11b. The design of the cavity is similar to that of SRO, except for two, instead of one, thin-film polarizing beamsplitters and two separate sets of end mirrors were used to resonate simultaneously the signal and the idler waves [53].

To achieve double resonance, precise control of the two cavity lengths was needed. To accomplish that goal, the 'dither-and-lock' technique was used, involving a piezo actuator at one of the mirrors, a 2-µm photodetector and feedback electronics. Interestingly, when GaAs was placed inside the DRO cavity, self-stabilizing mechanism was observed [54], due to thermo-optic negative feedback, similar to the self-stabilizing observed earlier in a CW AgGaS<sub>2</sub> DRO [55]. In both cases of active and passive stabilization, the DRO was able to operate for > 30 minutes with an excellent stability.

THz-wave generation inside DRO was achieved with all three types of QPM GaAs samples: OP-GaAs (L=10mm,  $\Lambda$ =1300 $\mu$ m, central frequency 1.27 THz), OC-GaAs (L=8 mm,  $\Lambda$ =1060 $\mu$ m, 1.57 THz), and DB-GaAs (L=6 mm,  $\Lambda$ =504 $\mu$ m, 2.8 THz). In the latter case, the average THz power was measured to be 1 mW, in a diffraction-limited beam, which corresponds to better than  $10^{-4}$  optical-to-THz conversion efficiency. The resonant intracavity signal and idler average powers were measured to be 10.2 W and 17 W respectively.

# 8. Demonstration of cascaded optical-to-THz frequency conversion

The possibility of overcoming quantum-defect-related (Manley-Rowe) limitations on the efficiency of THz -wave difference frequency generation, through cascaded nonlinear optical interactions for single-pass mixing of two discrete near-infrared frequencies, was suggested by Cronin-Golomb [56]. As the pump beams run down the Stokes ladder, the number of terahertz photons should continually increase. A potential improvement by a factor of 5 was predicted for ZnTe pumped at 824 nm [56]. Cascaded down-conversion was also predicted for the case of OR, where cascading results in continuous red shift of the ultrashort pump pulse [38]. This prediction was experimentally verified quite recently in tilted-wavefront OR experiments in LiNbO<sub>3</sub> with high-power fs pump pulses [57, 29].

The spectrum of the optical radiation in the intracavity SRO DFG experiment with the 6-mm DB-GaAs sample and center frequency 2.8 THz is shown in Fig. 14. Optical radiation was extracted from the SRO cavity through one of the highly-reflecting mirrors, and analyzed using a grating monochromator. This is the first experimental demonstration of the discrete optical frequency cascading, in the DFG experiment, predicted in ref. 56: in addition to the signal and the idler peaks, one can see two additional red-shifted peaks, corresponding to the 1-st and the 2-nd cascaded satellites,  $S_1$  and  $S_2$  [54,58]. All four peaks are spaced by the terahertz frequency (2.8 THz). Measured polarization states of the peaks are shown on the top of Fig. 14 and agree with selection rules, stating that polarizations of the neighboring peaks should be orthogonal.

The number of cascading cycles depends on the DFG pump acceptance bandwidth and on THz frequency. It can be on the order of 10 for 2-µm pump, 10-mm-long GaAs, and terahertz frequency of 1-2 THz. Potentially, THz conversion efficiency can significantly (by about a factor of 10) surpass the Manley-Rowe limit.

# 9. Outlook for future experiments

The aspiration for our future work is to demonstrate more than 100% THz quantum conversion efficiency from IR to THz. To accomplish this, one needs to resonate not only the OPO signal and the idler, but the 1-st and the higher order Stokes satellites. Because of the group velocity dispersion (GVD), mainly due to GaAs, the OPO resonator modes are not equidistant (Fig. 15a). This leads to the mode spacing being different, at the wings of the wide (400-500 cm<sup>-1</sup>) spectrum consisting of frequency clusters (signal + idler + Stokes satellites), from that dictated by the repetition rate of the pump laser, and results in accumulation of extra phase making it impossible to achieve resonant condition. However, GVD can be compensated by different methods: with prisms, by adding dielectric elements (e.g. fused silica) with the opposite sign of the 2-nd order GVD, and with special GVD compensating mirrors. In the case when GVD is compensated (Fig. 15b), simultaneous resonance can be achieved for the 1-st and higher Stokes frequencies.

Fig. 16 shows longitudinal frequency modes for the OPO signal, idler, Stokes frequencies, as well as for the THz radiation. When the modes of the signal, idler and several (~8) Stokes frequencies are resonant, they are expected to be phase-locked, through the action of THz field, which is common to all optical frequencies and acts a phase-locker. Interestingly, when 10 or so

optical frequency clusters are mutually coherent, the optical pulse, in the time domain, is expected to defragment into extremely short (~35 fs) bursts of radiation, within the ~5 ps envelope of the original pulse duration (Fig. 16b). Because of strong dispersion in GaAs, this mutual coherence is kept inside GaAs for only about a millimeter of length.

#### 10. Conclusion

Periodically-inverted GaAs shows great promise for efficient generation of frequency-tunable narrow-bandwidth (~100 GHz) THz wave packets via parametric down-conversion in the whole range from 0.3 to 4 THz. Both femtosecond (OR) and picosecond (DFG) optical pulses can be used as a pump. Intracavity THz wave generation with resonant enhancement of both optical waves seems to be the most efficient way of producing THz output. When GaAs was placed inside the cavity of a picosecond near-degenerate doubly-resonant OPO, 1mW of average THz output power was achieved. With reduced intracavity losses which can be attained by lowering optical losses in QPM GaAs, as well as by switching from the linear to the ring cavity (Fig. 17), much higher THz output can be achieved. Furthermore, by allowing Stokes-shifted cascaded waves to resonate along with the signal and the idler wave (which can be achieved by special design of cavity mirrors and by compensation of 2-nd and 3-rd order group velocity dispersion), the whole system can be scalable to 100 mW. It was difficult to make side-by-side comparison of three types of QPM GaAs samples, because of a very limited variety of samples. However, there are indications that they demonstrate similar performance at similar conditions. Although OP-GaAs samples have insufficient thickness, resulting in some THz beam clipping, most likely that the OP-GaAs will be work-horse of future applications because of reproducible technology and precision of definition of QPM periods; for example, exotic gratings with aperiodic of 'fannedout' structures can be easily created. OC-GaAs technique, on the other hand, does not allow creating a variety of grating periods, but these samples are easy to fabricate and they do not have aperture limitations.

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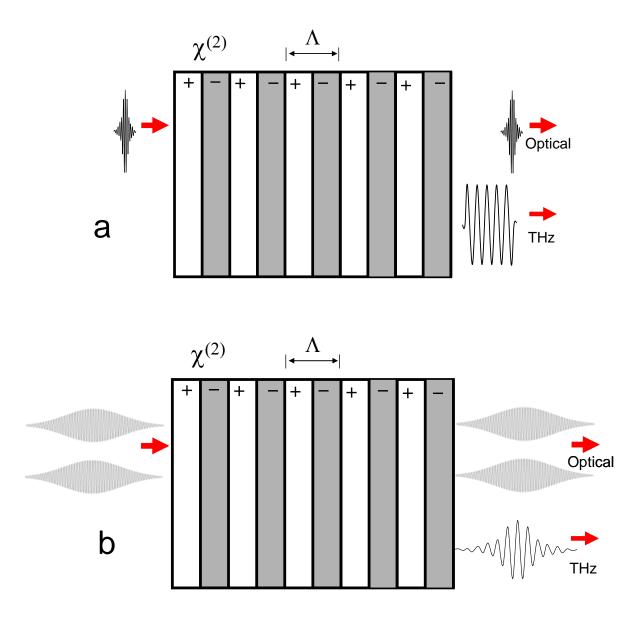
**Table 1** Linear, nonlinear optical properties, and figures of merit (normalized to LiNbO<sub>3</sub>) of crystals, transparent in the 0-4 THz range and most widely used for optical THz generation.

Crystal	GaAs	GaP	ZGP	GaSe	ZnTe	LiNbO <sub>3</sub>	LiTaO <sub>3</sub>
Optical wavelength	2.1	1.06	2.1	1.06	0.8	1.06	1.06
(μm) Optical ref. index THz ref. index	3.33 3.6	3.11 3.31	3.15 3.37	2.8 3.26	2.85 3.2	2.16 5.2	2.14 6.5
$\Delta n = n_{THz} - n_{g \ opt}$	0.18	~0	0.17	0.34	~0	3.0	4.32
THz absorption at 1-2 THz, cm <sup>-1</sup>	1	3.3	1	2.5	9.9	21.7	95
Electro-optic coefficient, pm/V [43]	$r_{41}=1.5$	$r_{41} = 0.94$	$r_{41}=1.6$	$r_{22}=1.58$	$r_{41}=4$	$r_{33}=28$	$r_{33}=27.7$
Nonlinear coefficient,	$d_{14}=46.1$	$d_{14}=21.7$	d <sub>36</sub> =39.4	d <sub>22</sub> =24.3[14]	d <sub>14</sub> =66	d <sub>33</sub> =152.4	$d_{33}=145.2$
Nonlinear refractive index $n_2$ , $10^{-15}$ cm <sup>2</sup> /W @ $\lambda$ ( $\mu$ m)	150 [44] @ 2.1	20 [45] @ 0.78	40* @ 2.1	45* @ 1.06	120[46]@1.06 71[47]@0.8	0.91 [48] @1.06	0.37* @1.06
FOM <sub>1</sub> , long pulses FOM <sub>2</sub> , ultrashort pulses FOM <sub>3</sub> , Kerr-limited conversion	0.83 0.64 0.014	0.06 1.67 0.005	0.68 0.55 0.047	0.13 0.13 0.004	0.25 6.04 0.0037	1 1 1	0.21 0.64 0.416

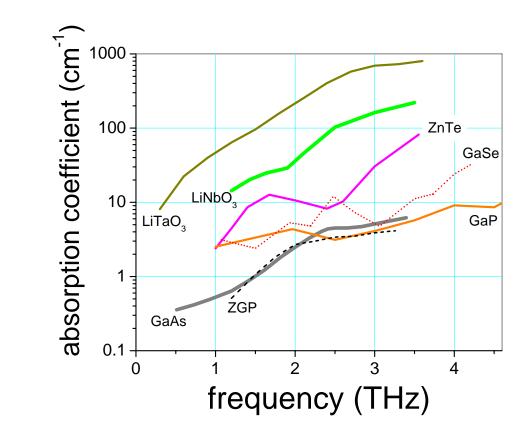
<sup>\*</sup> obtained indirectly through the bandgap scaling law of ref. 48

Table 2 Parameters of the QPM GaAs samples used in experiments.

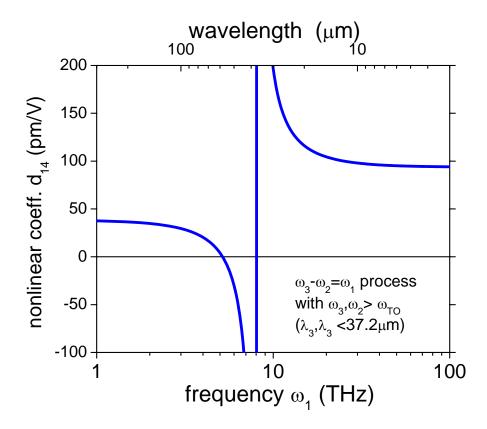
Sample	AR coated	QPM type	Aperture (mm <sup>2</sup> )	Length	QPM period
				(mm)	(µm)
DB-75	no	DB-GaAs	10x10	6	504
DB-77	yes	DB-GaAs	10x10	6	504
A3	no	OP-GaAs	0.4x3	3	1277
A10	no	OP -GaAs	0.4x3	10	1277
B3	no	OP -GaAs	0.4x3	3	759
B5	no	OP -GaAs	0.4x3	5	759
B10	no	OP -GaAs	0.4x3	10	759
C5	no	OP -GaAs	0.4x3	5	564
D3	no	OP -GaAs	0.4x3	3	932
3-07-1300-	yes	OP -GaAs	0.8x5	10	1300
10A					
OC-4-1000	yes	OC -GaAs	50x50	4	2000
OC-15-530	yes	OC -GaAs	5x5	8	1060



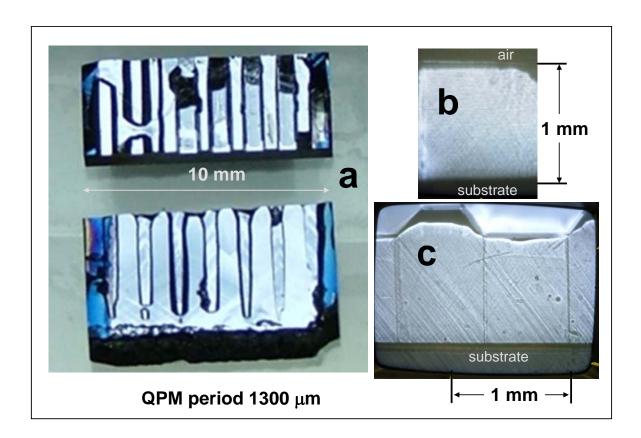
**Figure 1** Schematic illustration of collinear THz-wave generation in a nonlinear crystal with periodically inverted sign of  $\chi^{(2)}$ . (a) Optical rectification with femtosecond pulses, (b) difference frequency generation with two picosecond pulses ( $\Omega$ = $\omega_3$ - $\omega_2$ ).



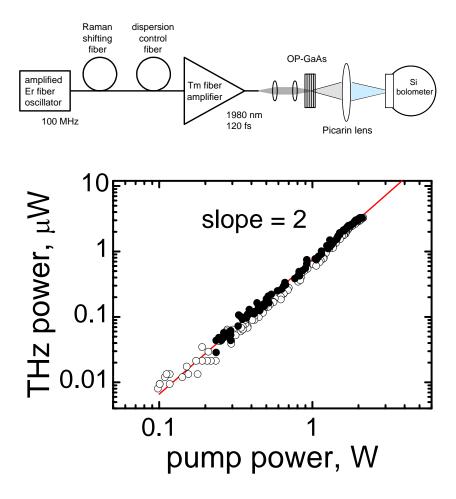
**Figure 2** THz power absorption coefficients for GaAs [2], GaP [15], ZGP [39], GaSe [14], ZnTe [40], LiNbO<sub>3</sub> [41], and LiTaO<sub>3</sub> [2], measured at room temperature.



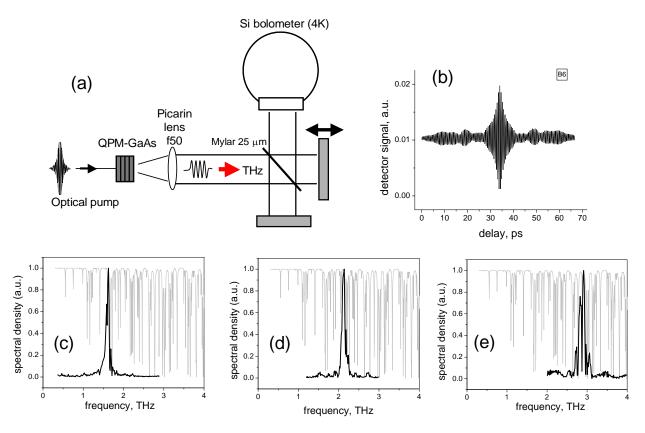
**Figure 3** Nonlinear coefficient  $d_{14}$  for GaAs, as a function of THz frequency  $\omega_1$ , provided that the two pump frequencies ( $\omega_2$ ,  $\omega_3$ ) are larger than the optical phonon frequency [17].



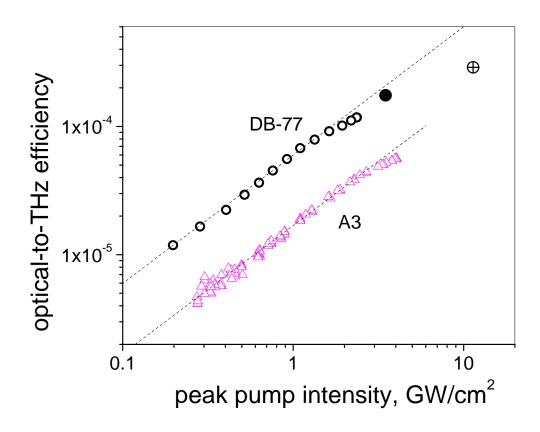
**Figure 4** OP-GaAs samples for the optical THz generation with L=10mm and the QPM period of 1300  $\mu$ m. (a) Top view. (b) Transmission picture of the cross section obtained with a near-infrared ( $\lambda$ ~ 1  $\mu$ m) microscope, from the polished face, as viewed by the propagating optical beam; (c) transmission picture of the grounded side face; one can see boundaries between inverted domains. The usable thickness of the samples in this case is ~0.8 mm.



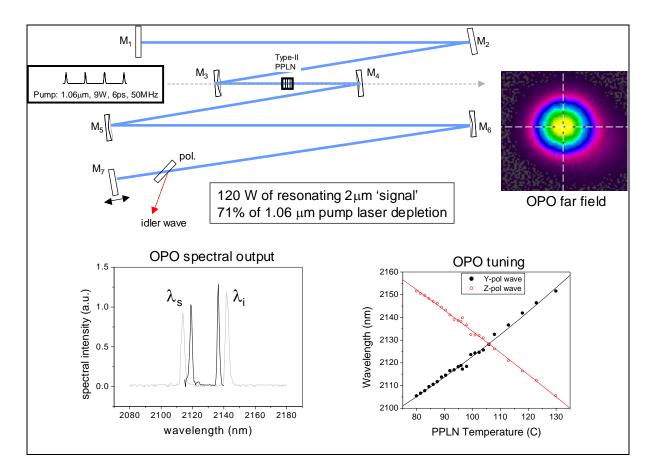
**Figure 5** Schematic of the OR THz experiment with a 2-µm fiber laser. THz average power vs. laser pump power for the OP-GaAs samples A3 (filled circles) and B3 (open circles). Straight line is the best fit for the A3 data.



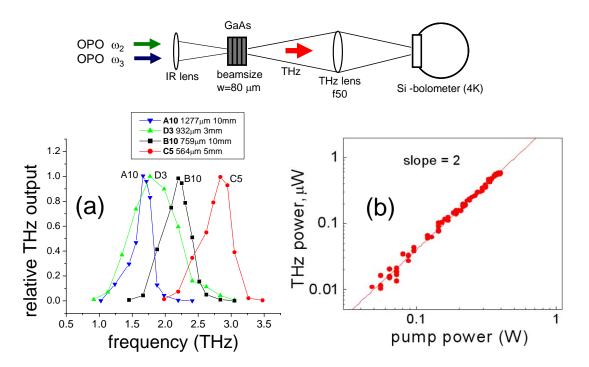
**Figure 6** (a) Experimental setup for Michelson interferometry and (b) typical Michelson interferogram. Power spectra of THz pulses extracted from interferograms, for different samples and pump wavelengths: (c) B10, pump at 4.4  $\mu$ m, (d) DB-75, pump at 4.4  $\mu$ m, and (e) DB-75, pump at 2  $\mu$ m. Shown in gray is transmission of a 20 cm path of standard air taken from the HITRAN database.



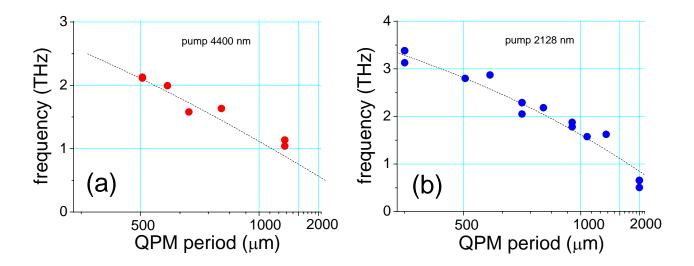
**Figure 7** Optical-to-terahertz conversion efficiency as a function of peak pump intensity for the sample DB-75 (2.2 THz, circles) and A3 (1.5 THz, triangles). The pump beam size was 810  $\mu$ m (open circles), 520  $\mu$ m (closed circles), 590  $\mu$ m (triangles), and 300  $\mu$ m (crossed circles). The pump wavelength was 3.5  $\mu$ m (open circles and triangles) and 4.4  $\mu$ m (filled and crossed circles). Dashed lines – linear fits.



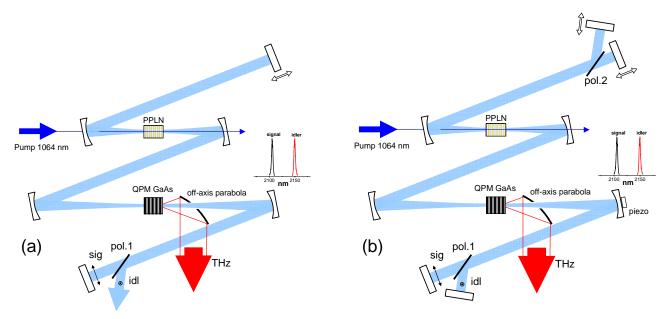
**Figure 8** Near –degenerate type-II PPLN OPO, synchronously pumped by ps pulses at  $1.06 \, \mu m$ . Insets: 'signal' wave far field intensity distribution, spectral output of the OPO at two different PPLN temperatures, and OPO tuning curve with respect to PPLN temperature.



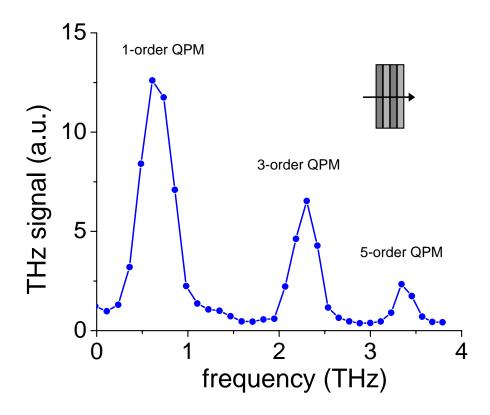
**Figure 9** (a) THz output vs. frequency in the DFG experiment outside the OPO cavity, for 4 OP-GaAs samples with different lengths (3-10 mm) and QPM periods (564-1277  $\mu$ m). (b) THz average output power versus incoming average pump power for a 5-mm-long uncoated OP-GaAs sample (QPM period 704  $\mu$ m, center frequency 2.2 THz). Straight line is the best fit.



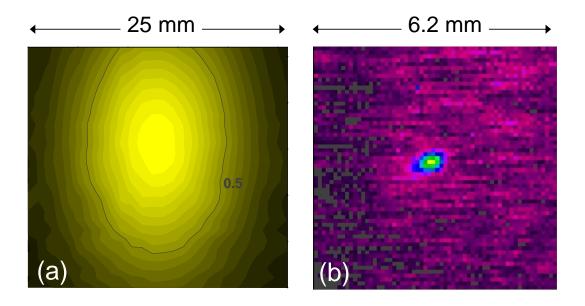
**Figure 10** THz peak frequency vs. GaAs QPM period for (a) fs OR at 4400 nm pump and (b) ps DFG at 2128 nm pump. Dashed lines - calculated from known GaAs dispersion.



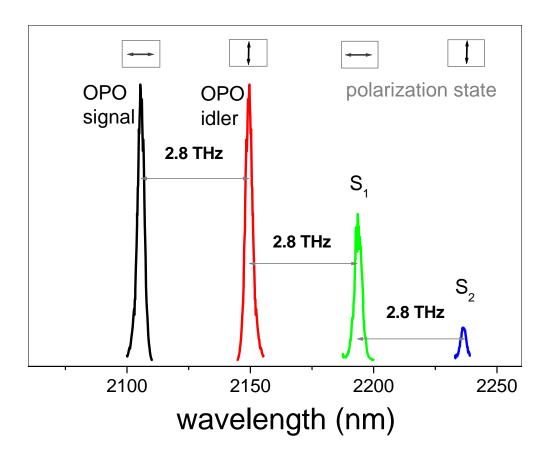
**Figure 11** Setup for THz generation using GaAs inside the cavity of a near –degenerate synchronously-pumped PPLN OPO. (a) Singly-resonant OPO (SRO), (b) doubly-resonant OPO (DRO). Off-axis parabolic mirror with a hole in the center was used to extract the THz output.



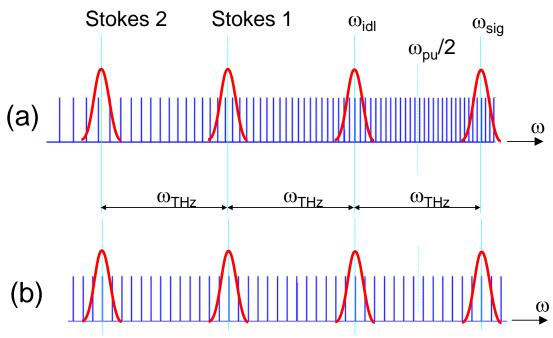
**Figure 12** THz output as a function of frequency, in the case of intracavity THz generation using an OC-GaAs stack consisting of four 1-mm (110) optically-contacted plates. The peaks correspond to the 1-st, 3-rd and 5-th –order QPM in GaAs.



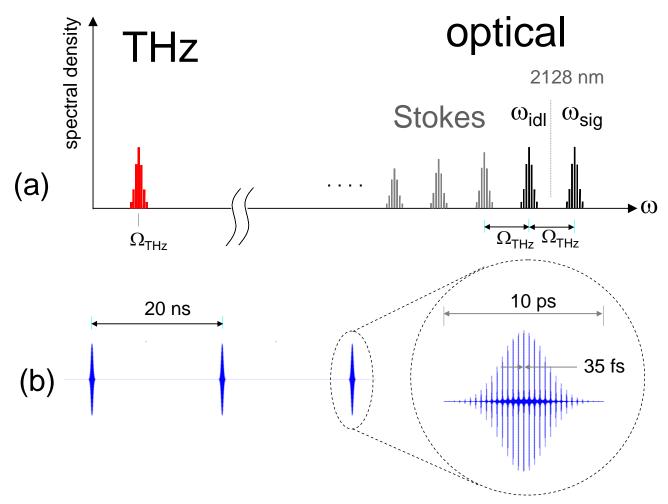
**Figure 13** (a) Collimated THz-beam intensity profile reconstructed from scanning knife-edge measurements. (b) Focused (f=50 mm lens) THz-beam intensity profile measured by a room-temperature pyroelectric camera (1 pixel= $100 \times 100 \mu m$ ).



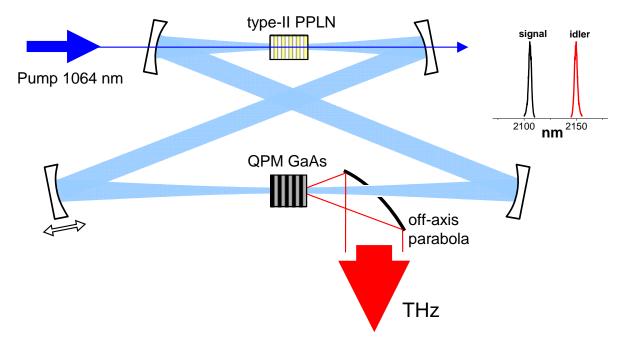
**Figure 14** Spectrum of the optical radiation (not to scale) in the intracavity SRO DFG experiment with the 6-mm DB-GaAs sample (center frequency 2.8 THz). In addition to the signal and the idler peaks, one can see two additional red-shifted peaks, corresponding to the 1-st and the 2-nd cascaded satellites  $S_1$  and  $S_2$ . Polarizations states of the peaks are shown on the top.



**Figure 15** (a) Case of non-zero group velocity dispersion (GVD). The OPO resonator modes are not equidistant and it is impossible to achieve resonant condition for the 1-st and higher Stokes frequencies. (b) When GVD is eliminated, simultaneous resonance can be achieved in the whole frequency range.



**Figure 16.** (a) Frequency modes for the OPO signal, idler, Stokes frequencies, as well as for THz wave. (b) Time domain picture. When the modes of the signal, idler and several (~8) Stokes frequencies are resonant and phase-locked, the optical pulse consists of extremely short (~35 fs) bursts of radiation.



**Figure 17.** Conceptual schematic of the setup for resonantly-enhanced THz-wave generation in a ring OPO cavity.